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## Note on the number of semistar operations, XIV

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### Abstract

We determine conditions for a grading monoid to have only a finite number of semistar operations.

This is a note on the number of semistar operations, and is a continuation of [M3]. The notions of star operations, semistar operations, and their Kronecker function rings of integral domains have been well known. We refer to Fontana-Loper([FL]) and its references for them. Let  $G$  be a torsion-free abelian additive group, and let  $S$  be an additively closed subset containing 0 of  $G$ . Then  $S$  is called a grading monoid (or, a g-monoid). We refer to [M1] for notions of star operations, semistar operations, and their Kronecker function rings for g-monoids.

Let  $\Sigma(S)$  be the set of star operations on  $S$ , and let  $\Sigma'(S)$  be the set of semistar operations on  $S$ . In §1 of this paper, we are interested in the cardinalities  $|\Sigma(S)|$ , and  $|\Sigma'(S)|$ , especially, in when  $|\Sigma'(S)| < \infty$ ? We will determine conditions for  $|\Sigma'(S)| < \infty$ . §2 is another note on  $|\Sigma'(D)|$  for  $i$ -local domains  $D$ .

### §1 The conditions for $|\Sigma'(S)| < \infty$

Let  $G$  be the quotient group of  $S$ , and let  $\bar{S}$  be the integral closure of  $S$ . If  $S$  is a group, we have  $|\Sigma'(S)| = 1$  trivially. Thus, throughout the section, let  $S$  be a g-monoid which is not a group, let  $M$  (resp.  $N$ ) be the maximal ideal of  $S$  (resp.  $\bar{S}$ ), let  $H$  (resp.  $L$ ) be the group of units of  $S$  (resp.  $\bar{S}$ ). In [M2, Theorem 14] we proved the following fact: Assume that  $M = N$ . Then we have that  $|\Sigma'(S)| < \infty$  if and only if  $\dim(S) < \infty$ ,  $\bar{S}$  is a valuation semigroup, and  $L/H$  is a finite group.

In this section, we will prove the following,

**Theorem 1** Assume that  $M \neq N$ . Then the following conditions are equivalent.

- (1)  $|\Sigma'(S)| < \infty$ .
- (2)  $\dim(S) < \infty$ ,  $\bar{S}$  is a valuation semigroup, and  $\bar{S} - S$  is a finite set modulo  $H$ .

(1.1) (cf. [M2, Proposition 1]) Let  $V$  be a valuation semigroup with maximal ideal  $N$ . If  $N$  is a principal ideal of  $V$ , then  $|\Sigma(V)| = 1$ , and if  $N$  is not a principal

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ideal of  $V$ , then  $|\Sigma(V)| = 2$ .

**(1.2)** Assume that  $|\Sigma'(S)| < \infty$ . Then there is only a finite number of oversemigroups of  $S$ .

*Proof.* Let  $T$  be an oversemigroup of  $S$ . Then there arises a semistar operation  $I \mapsto I + T$  on  $S$ .

**(1.3)** Assume that  $|\Sigma'(S)| < \infty$ . Then  $L/H$  is a finite group.

*Proof.* If  $L/H$  is an infinite group, then there is an infinite number of subgroups  $K$  of  $L$  containing  $H$ . Set  $T = K \cup N$ . Then  $T$  is an oversemigroup of  $S$ .

Let  $A$  be a subset of  $G$ . Then  $S[A]$  denotes the oversemigroup of  $S$  generated by  $A$ .

**(1.4)** Assume that  $|\Sigma'(S)| < \infty$ . Then  $\dim(S) < \infty$ ,  $\bar{S}$  is a valuation semigroup, and  $\bar{S} - S$  is a finite set modulo  $H$ .

*Proof.* Suppose that  $\dim(S) = \infty$ . Then there is an infinite number of oversemigroups of  $S$ . Then  $|\Sigma'(S)| = \infty$  by (1.2).

Suppose that  $\bar{S}$  is not a valuation semigroup. Then there is an element  $x \in G - \bar{S}$  such that  $-x \notin \bar{S}$ . We have  $S[2^n x] \not\subseteq S[2^{n+1}x]$  for each positive integer  $n$ . Then  $|\Sigma'(S)| = \infty$  by (1.2).

Conferring (1.3), let  $\alpha_1, \dots, \alpha_k$  be a complete representative system of  $L$  modulo  $H$ . Let  $v$  be a valuation belonging to  $\bar{S}$ . By (1.2), we have  $\{S[x] \mid x \in \bar{S} - S\} = \{S[x_1], \dots, S[x_m]\}$  for some positive integer  $m$ . Let  $x \in \bar{S} - S$ . Then  $S[x] = S[x_i]$  for some  $i$ . Hence  $v(x) = v(x_i)$ . Then  $x - x_i = \alpha_j + h$  for some  $j$  and  $h \in H$ .

We have seen that (1) implies (2) in Theorem 1.

Thus, in the rest of the section, we assume that  $M \neq N$ ,  $\dim(S) < \infty$ ,  $\bar{S}$  is a valuation semigroup, and  $\bar{S} - S$  is a finite set modulo  $H$ . Set  $\bar{S} = V$ , let  $v$  be a valuation belonging to  $V$ , and let  $\Gamma$  be the value group of  $v$ .

**(1.5)** (1) Let  $I \in \mathbf{F}'(S)$  so that  $v(I)$  is not bounded below. Then  $I = G$ .

(2)  $\mathbf{F}'(S) = \mathbf{F}(S) \cup \{G\}$ .

(3) Each star operation  $*$  on  $S$  can be extended uniquely to a semistar operation on  $S$ .

(4)  $|\Sigma(S)| \leq |\Sigma'(S)|$ .

(5)  $L/H$  is a finite group.

(6) Let  $I \in \mathbf{F}(S)$  so that there does not exist  $\inf v(I)$ . Then we have  $\{x \in G \mid v(x) \geq v(a) \text{ for some } a \in I\} \subset I$ .

*Proof.* (1) Let  $x \in G$ . There are  $x_1, x_2, x_3, \dots$  in  $I$  so that  $v(x) > v(x_1) > \dots$ . Then  $x - x_i \in N$  for each  $i$ , and  $x - x_i \not\equiv x - x_j \pmod{H}$  for each  $i < j$ . It follows that  $x - x_n \in S$  for some  $n$ , and hence  $x \in I$ .

- (2) follows from (1).
- (3) follows from (2).
- (4) follows from (3).
- (5) follows from the fact that  $V - S$  is a finite set modulo  $H$ .
- (6) Suppose the contrary. There are  $x \notin I$  and  $a_0 \in I$  such that  $v(a_0) \leq v(x)$ . There are elements  $a_1, a_2, a_3, \dots$  in  $I$  so that  $v(a_0) > v(a_1) > v(a_2) > \dots$ . Then  $x - a_i \in V - S$  for each  $i$ , and  $x - a_i \not\equiv x - a_j$  modulo  $H$  for each  $i < j$ ; a contradiction.

Let  $\alpha_1, \dots, \alpha_a$  be a complete representative system of  $L$  modulo  $H$ . And let  $z_1, \dots, z_b$  be a complete representative system of  $N - M$  modulo  $H$ . We may assume that  $v(z_1) \leq \dots \leq v(z_b)$ .

**(1.6)** Let  $T$  be an oversemigroup of  $S$  with  $T \in \mathbf{F}(S)$ , and let  $*$  be either a star operation or a semistar operation on  $S$ . Then  $T^*$  is an oversemigroup of  $S$ .

Proof. Let  $x, y \in T^*$ . Then  $x + y \in T^* + T^* \subset (T^* + T^*)^* = (T + T)^* = T^*$ .

**(1.7)** There is  $\min v(N)$ .

Proof. Suppose that  $0 < v(s) < v(z_1)$  for some  $s \in S$ . We have  $z_1 - s \equiv z_i$  modulo  $H$  for some  $i$ . Then  $v(z_1) = v(z_i)$ , and hence  $v(s) = 0$ ; a contradiction.

Let  $x \in N - M$ . Then  $x \equiv z_i$  modulo  $H$  for some  $i$ . Hence  $v(x) = v(z_i) \geq v(z_1)$ .

We may assume that  $\Gamma$  is the rank 1 convex subgroup of  $\Gamma$ . Take an element  $\pi \in N$  such that  $v(\pi) = 1$ .

**(1.8)** Let  $T$  be an oversemigroup of  $S$ . Then  $T \supset V$  or  $T \subset V$ .

Proof. Assume that  $T \not\subset V$ , and take an element  $x_0 \in T - V$ . Then  $-x_0 \in N$ . Let  $x \in V$ . We have  $x - kx_0 \in V$  for each  $k \geq 0$ . If  $0 < i < j$ , then  $x - ix_0 \not\equiv x - jx_0$  modulo  $H$ . Therefore  $x - mx_0 \in S$  for some  $m$ . Then  $x \in S[x_0]$ , and hence  $V \subset T$ .

**(1.9)** There is only a finite number of oversemigroups of  $S$ .

Proof. It follows from (1.8),  $\dim(V) < \infty$ , and the hypothesis that  $V - S$  is a finite set modulo  $H$ .

**(1.10)** Let  $\dim(S) = 1$ . Then  $V^v = V$ , that is,  $V$  is a divisorial fractional ideal of  $S$ .

Proof.  $V^v$  is an oversemigroup of  $V$  by (1.6), and we have  $\dim(V) = 1$ . Suppose that  $V^v \neq V$ . Then  $V^v = G$ . Take an element  $x_0 \in M$ . Let  $1 \leq i \leq b$ , and let  $0 < j < k$ . Then  $z_i + jx_0 \not\equiv z_i + kx_0$  modulo  $H$ . Hence there is  $m_i$  so that  $z_i + mx_0 \in S$  for each  $m \geq m_i$ , that is,  $z_i \in (-mx_0)$ . Similarly, there is  $m'_j$  so that  $z_j \in (-mx_0)$  for each  $m \geq m'_j$ . Let  $\max\{m_i, m'_j \mid i, j\} = m_0$ . Then  $V \subset (-mx_0)$  for each  $m \geq m_0$ . Since  $V^v = \bigcap \{(x) \mid (x) \supset V\}$ , we have  $(-mx_0) = G$  for each  $m \geq m_0$ ;

this is clearly impossible.

(1.11) We have  $V^v = V$ .

Proof. By (1.10), we may assume that  $\dim(S) \geq 2$ . Let  $Q$  be a prime ideal of  $V$  with  $\text{ht}(Q) = \text{ht}(N) - 1$ , and let  $P = S \cap Q$ , where  $\text{ht}(N)$  (resp.  $\text{ht}(Q)$ ) means the height of  $N$  (resp. the height of  $Q$ ). Suppose that  $V^v \neq V$ . Then  $V^v \supset V_Q$ . Take an element  $x_0 \in M - P$ . The similar argument to the proof of (1.10) shows that  $(-mx_0) \supset V_Q$  for all sufficiently large  $m$ . Since  $(m+1)x_0 \notin Q$ , we have  $-(m+1)x_0 \in V_Q \subset (-mx_0)$ , and hence  $-x_0 \in S$ ; a contradiction.

(1.12) Let  $I \in \mathbf{F}(S)$  so that there does not exist  $\inf v(I)$ . Then  $I^v = I$ .

Proof. Suppose that  $I^v \not\supseteq I$ . Take an element  $x \in I^v - I$ . Then  $v(x)$  is a lower bound of  $v(I)$  by (1.5)(6). There is a lower bound  $v(y)$  of  $v(I)$  with  $v(x) < v(y)$ . Set  $I - y = J$ . Since  $J \subset V$ , we have  $J^v \subset V$  by (1.11). We have  $x - y \in I^v - y = J^v$ , and  $v(x - y) < 0$ . Hence  $J^v \not\subset V$ ; a contradiction.

(1.13)  $|\Sigma(S)| < \infty$ .

Proof. Let  $I \in \mathbf{F}(S)$  with  $S \subset I \subset V$ . Then  $I$  is generated on  $S$  by a subset of  $\{\alpha_i, z_j \mid i, j\}$ . Therefore the set  $\{I \in \mathbf{F}(S) \mid S \subset I \subset V\} = X$  is a finite set.

Let  $*$   $\in \Sigma(S)$  and let  $I \in X$ . Set  $I^* = g_*(I)$ . Then  $g_*$  is a mapping from  $X$  to  $X$  by (1.11), that is,  $g_* \in X^X$ . Then  $g$  is a mapping from  $\Sigma(S)$  to  $X^X$ .

Let  $*, *' \in \Sigma(S)$ ,  $I \in \mathbf{F}(S)$ , and assume that  $g_* = g_{*'}$ . If there does not exist  $\inf v(I)$ , then  $I^* = I^{*'}$  by (1.12). If there is  $\inf v(I) = v(x)$ , then  $\min v(I - x) = 0$  by (1.7). Hence  $S \subset I - y \subset V$  for some  $y \in I$ . Since  $g_* = g_{*'}$ , we have  $(I - y)^* = (I - y)^{*'}$ , and hence  $I^* = I^{*'}$ . We have proved that  $* = *'$ , and hence  $g$  is an injection. It follows that  $|\Sigma(S)| < \infty$ .

(1.14) Let  $T$  be an oversemigroup of  $S$  with  $T \subset V$ . Then  $|\Sigma(T)| < \infty$ .

Proof. Let  $M'$  be the maximal ideal of  $T$ , and let  $H'$  be the group of units of  $T$ . We have that  $\bar{T} = V$ ,  $\dim(T) = \dim(S) < \infty$ , and  $L/H'$  is a finite group. If  $M' = N$ , we have  $|\Sigma'(T)| < \infty$  by [M2, Theorem 14], and hence  $|\Sigma(T)| < \infty$  by (1.5)(4). If  $M' \neq N$ , we have  $|\Sigma(T)| < \infty$  by (1.13).

(1.15) Let  $T$  be an oversemigroup of  $S$ . Then  $|\Sigma(T)| < \infty$ .

Proof. We may assume that  $T \not\subset V$  by (1.14). Then  $T \supset V$  by (1.8). Then  $|\Sigma(T)| \leq 2$  by (1.1).

Conferring (1.9), let  $\{T_1, \dots, T_c\}$  be the set of oversemigroups of  $S$ . For each  $1 \leq i \leq c$ ,  $* \in \Sigma(T_i)$  and  $I \in \mathbf{F}(S)$ , set  $(I + T_i)^* = I^{\sigma(*)}$  and  $G = G^{\sigma(*)}$ .

(1.16) (1) If  $i \neq j$ , then  $\Sigma(T_i) \cap \Sigma(T_j) = \emptyset$ .

(2) There is a canonical mapping  $\sigma$  from  $\bigcup_1^c \Sigma(T_i)$  to  $\Sigma'(S)$ .

Proof. (1) We have  $F(T_i) \neq F(T_j)$ , and  $\Sigma(T_i)$  (resp.  $\Sigma(T_j)$ ) is a set of mappings from  $F(T_i)$  to  $F(T_i)$  (resp. from  $F(T_j)$  to  $F(T_j)$ ).

(2) We see easily that  $\sigma(*)$  satisfies the conditions of a semistar operation on  $S$ .

**(1.17)** The mapping  $\sigma$  is a bijection onto  $\Sigma'(S)$ .

Proof. Let  $* \in \Sigma'(S)$ . Then  $S^* = T_i$  for some  $T_i$ . There is a star operation  $*' : J \rightarrow J^*$  on  $T_i$ . Then we have  $\sigma(*') = *$ , and hence  $\sigma$  is a surjection.

Let  $*_i \in \Sigma(T_i)$  and  $*_j \in \Sigma(T_j)$  such that  $\sigma(*_i) = \sigma(*_j)$ . Then we have  $T_i = S^{\sigma(*_i)} = S^{\sigma(*_j)} = T_j$ .

**(1.18)**  $|\Sigma'(S)| < \infty$ .

Proof. It follows from (1.15), (1.16), and (1.17).

The proof of Theorem 1 is complete.

## §2 An another note

In [M4], we determined conditions for  $|\Sigma'(D)| < \infty$  for any APVD (or, an almost pseudo-valuation domain)  $D$ , and in §1, we determined conditions for  $|\Sigma'(S)| < \infty$  for any g-monoid  $S$ . Every g-monoid that is not a group has a unique maximal ideal, and every APVD  $D$  has the property that  $D$  and its integral closure  $\bar{D}$  has a unique maximal ideal. We refer to [BH] for APVD's. Thus it is natural to consider the class of domains  $D$  such that  $\bar{D}$  has a unique maximal ideal. We call such a domain an i-local domain. In §2, we will study  $|\Sigma'(D)|$  for i-local domains  $D$ .

**(2.1)** Let  $D$  be an i-local domain. Assume that  $\bar{D}$  is a valuation domain with maximal ideal  $M$ ,  $v$  be a valuation belonging to  $\bar{D}$ , and  $M^n \subset D$  for some positive integer  $n$ . Then either  $D$  is a PVD (or, a pseudo-valuation domain), or there is  $\min v(M)$ .

Proof. Suppose the contrary. Let  $0 \neq x \in M$ . There are elements  $x_1, \dots, x_n \in M$  such that  $v(x) > v(x_1) > \dots > v(x_n) > 0$ . Then  $x = \frac{x}{x_1} \frac{x_1}{x_2} \dots \frac{x_{n-1}}{x_n} x_n \in M^n \subset D$ . Hence  $D$  is a PVD; a contradiction.

Let  $D$  be a valuation domain with maximal ideal  $M$ , let  $v$  be a valuation belonging to  $D$ , and let  $\Gamma$  be the value group of  $v$ . If there is  $\min v(M)$ , then we may assume that  $\bar{\Gamma}$  is the rank one convex subgroup of  $\Gamma$ , and  $\min v(M) = 1 \in \bar{\Gamma} \subset \Gamma$ .

For, the rank one convex subgroup of  $\Gamma$  is isomorphic with the ordered group  $\bar{\Gamma}$ . Therefore  $\Gamma$  is order isomorphic with an ordered group  $\Gamma'$  the rank one convex subgroup of which is  $\bar{\Gamma}$ .

**(2.2)** Let  $D$  be an  $i$ -local domain with maximal ideal  $P$ , let  $M$  be the maximal ideal of  $\bar{D}$ , and assume that  $|\Sigma'(D)| < \infty$ . Then we have,

- (1)  $\dim(D) < \infty$ .
- (2) There is only a finite number of overrings of  $D$ .
- (3)  $\bar{D} = V$  is a valuation domain.
- (4)  $V$  is a finitely generated  $D$ -module.
- (5)  $V/M = K$  is a simple extension field of  $D/P = k$  with  $[K : k] < \infty$ .
- (6)  $V, M \in \mathbf{F}(D)$ .
- (7)  $\mathbf{F}'(D) = \mathbf{F}(D) \cup \{\mathfrak{q}(D)\}$ .

Proof. (1) follows from (2).

(2) If  $T$  is an overring of  $D$ , then there is a semistar operation  $I \mapsto IT$  on  $D$ .

(3) Let  $\{V_\lambda \mid \lambda \in \Lambda\}$  be the set of valuation overrings of  $D$ . Then we have  $\bar{D} = \bigcap_\lambda V_\lambda$ .

(4)  $\bar{D}$  is a finitely generated overring of  $D$ .

(5) There is only a finite number of intermediate fields between  $k$  and  $K$ .

(6) There are elements  $x_1, \dots, x_n \in V$  such that  $V = \sum_1^n Dx_i$  for some positive integer  $n$ .

(7) There is  $0 \neq d \in D$  such that  $dV \subset D$ . Let  $v$  be a valuation belonging to  $V$ . Let  $I \in \mathbf{F}'(D)$  so that  $v(I)$  is not bounded below. Let  $x \in \mathfrak{q}(D)$ . There is  $a \in I$  such that  $v(a) < v(x)$ . Then  $x \in aV \subset (a/d)D \subset (1/d)I$ . Hence  $\mathfrak{q}(D) \subset (1/d)I$ , and hence  $I = \mathfrak{q}(D)$ .

**(2.3)** Let  $D$  be an  $i$ -local domain such that  $\bar{D} = V$  is a valuation ring, and let  $M$  be the maximal ideal of  $\bar{D}$ . Assume that  $M^n \subset D$  for some positive integer  $n$ . Then we have,

- (1)  $\mathbf{F}'(D) = \mathbf{F}(D) \cup \{\mathfrak{q}(D)\}$ .
- (2) Let  $T$  be an overring of  $D$ . Then either  $T \supset V$  or  $T \subset V$ .
- (3) Let  $\Sigma'_1 = \{* \in \Sigma'(D) \mid D^* \supset V\}$ . Then there is a canonical bijection from  $\Sigma'(V)$  onto  $\Sigma'_1$ .
- (4) Let  $\Sigma'_2 = \{* \in \Sigma'(D) \mid D^* \not\subset V\}$ . Then  $\Sigma'(D) = \Sigma'_1 \cup \Sigma'_2$ .
- (5) Let  $\{T_\lambda \mid \lambda \in \Lambda\}$  be the set of overrings  $T$  of  $D$  with  $T \not\subset V$ . Then there is a canonical bijection from the disjoint union  $\bigcup_\lambda \Sigma(T_\lambda)$  onto  $\Sigma'_2$ .

Proof. (1) Similar to (2.2)(7).

(2) Assume that  $T \not\subset V$ , and take an element  $x \in T - V$ . We may assume that  $1/x \in M^n$ . Let  $a \in V$ . Then  $a(1/x) \in P$ , hence  $a \in xP \subset T$ .

(3) The map  $* \mapsto \delta_D(*)$  gives a bijection from  $\Sigma'(V)$  onto  $\Sigma'_1$ .

(4) follows from (1).

(5) Similar to (3).

**(2.4)** Let  $D$  be an  $i$ -local domain. Assume that  $\bar{D} = V$  is a valuation ring with maximal ideal  $M$ , let  $\mathcal{K}$  be a complete representative system of  $V$  modulo  $M$ ,  $v$  be a valuation belonging to  $V$  with value group  $\Gamma$ , assume that  $\vec{\Gamma}$  is the rank one convex subgroup of  $\Gamma$ , and  $v(\pi) = 1 \in \vec{\Gamma}$  for some  $\pi \in V$ . Let  $x \in \mathfrak{q}(D) - \{0\}$  with  $v(x) \in \vec{\Gamma}$ . Let  $k$  be a positive integer with  $k > v(x)$ . Then  $x$  can be expressed uniquely as

$x = \alpha_l \pi^l + \alpha_{l+1} \pi^{l+1} + \dots + \alpha_{k-1} \pi^{k-1} + a \pi^k$ , where  $l = v(x)$  and each  $\alpha_i \in \mathcal{K}$  with  $\alpha_l \not\equiv 0 \pmod{M}$  and  $a \in V$ .

Proof. Since  $\frac{x}{\pi^l}$  is a unit of  $V$ , we have  $\frac{x}{\pi^l} \equiv \alpha_l \pmod{M}$  for a unique  $0 \neq \alpha_l \in \mathcal{K}$ .

**(2.5) Proposition** Let  $D$  be an  $i$ -local domain with maximal ideal  $P$ , and assume that  $\bar{D} = V$  is a valuation ring with maximal ideal  $M$ ,  $v$  be a valuation belonging to  $V$  with the value group  $\Gamma$ . Assume that  $D \supset M^3$ . Then,

(1)  $D$  is either a PVD or, we may assume that  $\Gamma$  is the rank one convex subgroup of  $\Gamma$ .

(2) If  $D/P = V/M$ , then  $D$  is an APVD.

Proof. (1) follows from (2.1).

(2) Suppose the contrary. Then we may apply (2.4), and we may assume that  $\mathcal{K} \subset D$ . Since  $D$  is not an APVD, we may choose  $x \in P - M^3$ . If  $v(x) = 1$ , then  $x^2 \in P - M^3$  and  $x^2 \in M^2$ . Hence we may assume that  $v(x) = 2$ . We have  $x = \alpha \pi^2 + a \pi^3$  for  $\alpha \in \mathcal{K}$  and  $a \in V$ . Since  $\alpha \in D - P$ , we have  $\pi^2 \in P$ , and hence  $M^2 \subset P$ . Since  $D$  is not an APVD, we may choose  $x \in P - M^2$ . Then  $\pi \in P$ , and hence  $M = P$ ; a contradiction.

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